

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Technology Center 2100

In re Application of: CHAOXIN QIU ET AL.

Filed: OCTOBER 3, 2001

For: RESOURCE MANAGEMENT ARCHITECTURE FOR USE IN INFORMATION STORAGE ENVIRONMENTS

Serial No.: 09/970,457

Prior Group Art Unit: 2151

Examiner: UNKNOWN

Atty. Dkt: SURG:160



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Pursuant to 37 C.F.R. 1.8, I certify that this correspondence is being deposited with the U.S. Postal Service in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date below:

4-12-02 Date

Mathy Bowey Name

Assistant Commissioner For Patents
Washington, D.C. 20231

Dear Sir:

SECOND PRELIMINARY AMENDMENT

Please amend the application as follows.

In the specification:

The rewritten clean versions of all the specification changes are provided below.

Attached at the end of this paper is an Appendix providing an indication of the changes relative to the prior version of the specification, as now required by Rule 121.